


<div style="text-align: right;">  </div> <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>				<b>Complete if Known</b>		<div style="border: 2px solid black; padding: 5px; font-weight: bold; font-size: 1.2em;">RECEIVED</div> <div style="font-weight: bold; font-size: 1.2em;">APR 16 2002</div>	
				Application Number	10/035,037		
				Filing Date	December 28, 2001		
				First Named Inventor	Daniel L. Cox		
				Group Art Unit	3731		
				Examiner Name	Unassigned		
Sheet	1	of	1	Attorney Docket Number	ACS 58088		<div style="font-weight: bold; font-size: 1.2em;">TECHNOLOGY CENTER</div> <div style="font-weight: bold; font-size: 1.2em;">R3700</div>

[illegible][illegible]

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
HE		Wayman, C.M. et al., <i>An Introduction to Martensite and Shape Memory</i> , <u>Engineering Aspects of Shape Memory Alloys</u> , pp. 3-20, 1990.
HA		U.S. Application for Letters Patent Serial No. 10/033,380 to Hong et al. filed 12/27/2001

Examiner Signature	<i>Tammy Little</i>	Date Considered	3/19/04
-----------------------	---------------------	--------------------	---------

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

**Complete if Known**

Application Number	10/035,037
Filing Date	December 28, 2001
First Named Inventor	Daniel L. Cox
Art Unit	3731
Examiner Name	Unassigned
Attorney Docket Number	ACS-58088 (3152P)

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known) <sup>2</sup>			
DB		US- 5,342,348		Aug. 30, 1994	Kaplan	/
DB		US- 5,725,549		Mar. 10, 1998	Lam	/
DB		US- 5,800,456		Sep. 1, 1998	Maeda et al.	/
DB		US- 6,165,210		Dec. 26, 2000	Lau et al.	/
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

**RECEIVED****JUL 22 2003****TECHNOLOGY CENTER R37JL****FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
DB		EP	0 931 520	A2	July 28, 1999	Advanced Cardiovascular	/	
DB		WO	00/12147		9 March 2000	Scimed Life Systems, Inc.	/	
DB		WO	02/053066	A1	11 July 2002	Advanced Cardiovascular	/	

Examiner  
Signature*David L. Cox*

Date

Considered

*3/19/04*

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.